

Advisor Sold Plan Assets and Account as of 10/31/23		
Dealer Name	Assets	Accounts
LPL FINANCIAL LLC	\$366,996,668.09	86,590
WELLS FARGO CLEARING SERVICES LLC	\$354,058,243.96	71,443
FRANKLIN DISTRIBUTORS LLC	\$263,623,178.89	64,011
MORGAN STANLEY SMITH BARNEY LLC	\$197,498,714.60	28,948
J P MORGAN SECURITIES LLC	\$187,073,155.64	39,798
UBS FINANCIAL SERVICES INC	\$135,877,621.87	23,463
PNC INVESTMENTS LLC	\$133,221,414.54	37,522
EQUITABLE ADVISORS LLC	\$102,862,075.85	30,042
PFS INVESTMENTS INC	\$88,023,194.44	26,453
MML INVESTORS SERVICES LLC	\$81,895,831.54	24,848
CETERA ADVISOR NETWORKS LLC	\$64,169,315.15	15,235
FIRST COMMAND BROKERAGE SERVICES INC	\$51,738,111.17	10,653
MERRILL LYNCH PIERCE FENNER & SMITH INC	\$49,840,976.83	16,370
RBC WEALTH MANAGEMENT	\$46,785,001.27	6,945
STIFEL NICOLAUS & CO INC	\$44,800,239.01	7,433
CETERA INVESTMENT SERVICES LLC	\$42,832,511.15	14,354
LINCOLN INVESTMENT PLANNING LLC	\$42,495,129.58	15,397
U S BANCORP INVESTMENTS INC	\$42,077,639.61	10,724
SECURITIES AMERICA INC	\$41,939,443.10	12,825
OSAIC WEALTH INC	\$40,221,875.35	10,513
T F S SECURITIES INCORPORATED	\$38,241,250.06	9,921
CETERA FINANCIAL SPECIALISTS LLC	\$36,660,644.16	10,454
AVANTAX INVESTMENT SERVICES INC	\$36,533,316.76	8,300
COMMONWEALTH EQUITY SERVICES LLC	\$35,693,957.29	6,807
NORTHWESTERN MUTUAL INVESTMENT	\$33,591,277.36	5,430
TRUIST INVESTMENT SERVICES INC	\$32,522,453.29	5,596
VALIC FINANCIAL ADVISORS INC	\$32,307,113.36	10,574
CETERA ADVISORS LLC	\$31,903,860.23	7,576
JANNEY MONTGOMERY SCOTT LLC	\$28,728,350.60	3,955
CAMBRIDGE INVESTMENT RESEARCH INC	\$27,308,410.48	6,424
WOODBURY FINANCIAL SERVICES INC	\$24,807,755.94	6,352
SAGEPOINT FINANCIAL INC	\$24,616,277.77	7,166
RAYMOND JAMES FINANCIAL SERVICES INC	\$23,208,110.94	12,042
PURSHE KAPLAN STERLING INVESTMENTS INC	\$20,553,382.03	4,268
THE INVESTMENT CENTER INC	\$19,055,285.13	3,921
KESTRA INVESTMENT SERVICES LLC	\$18,132,983.48	3,270
CUSO FINANCIAL SERVICES L P	\$17,987,840.33	5,344
CADARET GRANT & CO INC	\$16,105,842.48	3,857
GWN SECURITIES INC	\$15,899,535.87	5,751
OSAIC INSTITUTIONS INC	\$14,755,763.43	4,525
AMERICAN PORTFOLIOS FINANCIAL SVCS INC	\$13,970,952.65	3,329
U S BANCORP ADVISORS LLC	\$12,723,123.27	2,889
OPPENHEIMER & CO INC	\$12,505,333.76	1,952
PARK AVENUE SECURITIES LLC	\$11,611,261.00	2,332

Dealer Name	Assets	Accounts
FARMERS FINANCIAL SOLUTIONS LLC	\$11,087,889.73	2,994
ROBERT W BAIRD & CO INC	\$10,613,248.53	1,575
LINCOLN FINANCIAL ADVISORS CORP	\$10,591,531.55	2,879
HORNOR TOWNSEND & KENT LLC	\$10,432,235.83	2,888
NYLIFE SECURITIES LLC	\$9,759,105.73	1,823
CITIZENS SECURITIES INC	\$9,721,877.60	1,916
HSBC SECURITIES (USA) INC	\$8,900,858.82	1,575
ALLSTATE FINANCIAL SERVICES LLC	\$8,662,518.43	3,227
INDEPENDENT FINANCIAL GROUP LLC	\$8,656,708.11	1,919
KEY INVESTMENT SERVICES LLC	\$8,562,892.74	2,859
SANTANDER SECURITIES LLC	\$7,704,889.39	2,283
FSC SECURITIES CORPORATION	\$7,271,560.57	1,905
J P MORGAN SECURITIES LLC	\$7,021,293.31	531
AMERIPRISE FINANCIAL SERVICES LLC	\$6,622,196.36	15,533
TRIAD ADVISORS LLC	\$6,429,518.90	1,403
B RILEY WEALTH MANAGEMENT	\$6,404,628.94	1,080
LINCOLN FINANCIAL SECURITIES CORP	\$6,251,242.73	1,436
SA STONE WEALTH MANAGEMENT INC	\$6,161,942.52	1,474
CENTAURUS FINANCIAL INC	\$6,086,324.76	1,454
GROVE POINT INVESTMENTS LLC	\$6,068,268.37	1,826
CITIGROUP GLOBAL MARKETS INC	\$6,044,030.31	687
PRIVATE CLIENT SERVICES LLC	\$5,476,089.51	970
TRANSAMERICA FINANCIAL ADVISORS INC	\$5,114,839.71	1,849
CONCOURSE FINANCIAL GROUP SECURITIES INC	\$4,849,665.02	1,003
M HOLDINGS SECURITIES INC	\$4,829,035.92	1,047
CALTON & ASSOCIATES INC	\$4,745,546.36	1,350
UNITED PLANNERS FINANCIAL SERVICES	\$4,560,128.43	1,055
WORLD EQUITY GROUP INC	\$4,446,728.24	494
WESTERN INTERNATIONAL SECURITIES INC	\$4,427,285.75	804
PLANMEMBER SECURITIES CORPORATION	\$4,381,948.12	1,313
STEPHENS INC	\$4,309,661.60	437
FROST BROKERAGE SERVICES INC	\$4,267,227.63	740
EDWARD JONES	\$3,879,241.87	18,957
EQUITY SERVICES INC	\$3,655,561.60	857
SIGMA FINANCIAL CORP	\$3,574,622.21	862
B B GRAHAM & COMPANY INC	\$3,447,399.80	547
AMERITAS INVESTMENT COMPANY LLC	\$3,278,317.40	922
MUTUAL SECURITIES INC	\$3,152,977.62	712
RAYMOND JAMES & ASSOCIATES INC	\$3,145,715.78	4,430
NEXT FINANCIAL GROUP INC	\$3,000,420.97	972
APW CAPITAL INC	\$2,859,117.49	788
NATIONWIDE PLANNING ASSOCIATES INC	\$2,855,336.15	848
BENJAMIN F EDWARDS & CO INC	\$2,849,277.68	697
L O THOMAS & CO INC	\$2,819,302.97	671
WEDBUSH SECURITIES INC	\$2,633,521.52	301
NORTHERN TRUST SECURITIES INC	\$2,602,147.29	110

Dealer Name	Assets	Accounts
KOVACK SECURITIES INC	\$2,582,988.03	678
J W COLE FINANCIAL INC	\$2,549,765.21	641
HARBOUR INVESTMENTS INC	\$2,505,875.77	703
SAXONY SECURITIES INC	\$2,399,837.65	454
DAVID LERNER ASSOCIATES INC	\$2,397,580.74	723
SMITH MOORE & CO	\$2,382,316.84	402
FIRST HORIZON ADVISORS INC	\$2,372,782.82	543
HIGHTOWER SECURITIES LLC	\$2,332,306.25	262
D A DAVIDSON & CO	\$2,329,595.55	385
PRINCIPAL SECURITIES INC	\$2,307,728.54	982
NEST INVESTMENTS BD LLC	\$2,288,190.34	1,001
COMERICA SECURITIES INC	\$2,279,135.64	646
GREGORY J SCHWARTZ & CO INC	\$2,274,853.00	117
THE O N EQUITY SALES COMPANY	\$2,270,777.77	584
MADISON AVENUE SECURITIES LLC	\$2,179,397.96	393
VOYA FINANCIAL ADVISORS INC	\$2,100,984.85	975
PTS BROKERAGE LLC	\$2,046,025.75	256
VANDERBILT SECURITIES LLC	\$2,018,074.50	377
MONEY CONCEPTS CAPITAL CORP	\$2,010,872.48	445
CROWN CAPITAL SECURITIES LP	\$1,950,288.82	552
SYNOVUS SECURITIES INC	\$1,914,903.49	233
THE HUNTINGTON INVESTMENT COMPANY	\$1,900,465.80	777
ARVEST WEALTH MANAGEMENT	\$1,868,767.98	703
BLAKESLEE & BLAKESLEE INC	\$1,833,759.19	514
PARKLAND SECURITIES LLC	\$1,793,438.22	630
SPIRE SECURITIES LLC	\$1,745,709.58	79
AEGIS CAPITAL CORP	\$1,689,151.82	227
FOUNDERS FINANCIAL SECURITIES LLC	\$1,630,758.26	458
MWA FINANCIAL SERVICES INC	\$1,625,156.16	546
BERTHEL FISHER & COMPANY	\$1,613,315.79	399
GENEOS WEALTH MANAGEMENT INC	\$1,583,738.72	599
THE JEFFREY MATTHEWS FINANCIAL GROUP LLC	\$1,543,185.66	185
FIRST HEARTLAND CAPITAL INC	\$1,514,941.10	260
PORTSMOUTH FINANCIAL SERVICES	\$1,508,702.73	212
THE GMS GROUP LLC DBA GMS GROUP	\$1,468,651.34	109
HOOPOE CAPITAL MARKETS LLC	\$1,468,534.25	109
FORTUNE FINANCIAL SERVICES INC	\$1,460,597.74	511
GARDEN STATE SECURITIES INC	\$1,440,341.57	300
HILLTOP SECURITIES INC	\$1,420,427.98	317
NETWORK 1 FINANCIAL SECURITIES INC	\$1,393,715.00	299
PRUCO SECURITIES LLC	\$1,349,774.99	313
J ALDEN ASSOCIATES INC	\$1,336,747.78	108
LIFEMARK SECURITIES CORP	\$1,336,018.76	169
PROSPERA FINANCIAL SERVICES INC	\$1,314,256.58	349
INTERNATIONAL ASSETS ADVISORY LLC	\$1,281,357.54	267
TRUSTMONT FINANCIAL GROUP INC	\$1,263,312.06	396

Dealer Name	Assets	Accounts
REGULUS FINANCIAL GROUP LLC	\$1,257,324.69	142
THRASHER & CHAMBERS INC	\$1,232,989.98	565
TRIDENT PARTNERS LTD	\$1,198,854.09	110
SCF SECURITIES INC	\$1,188,293.13	216
HEROLD & LANTERN INVESTMENTS INC	\$1,172,242.29	144
FIDUCIARY TRUST COMPANY INTERNATIONAL	\$1,170,090.09	78
SILVER OAK SECURITIES INCORPORATED	\$1,144,903.60	291
MOMENTUM INDEPENDENT NETWORK INC	\$1,135,529.55	211
SORRENTO PACIFIC FINANCIAL LLC	\$1,130,478.18	337
NAVY FEDERAL INVESTMENT SERVICES LLC	\$1,128,070.87	296
THE STRATEGIC FINANCIAL ALLIANCE INC	\$1,118,034.17	225
WESTPARK CAPITAL INC	\$1,102,124.17	55
ANDREW GARRETT INC	\$1,092,092.01	54
MOLONEY SECURITIES CO INC	\$1,084,429.27	377
NEWEDGE SECURITIES INC	\$1,047,258.44	92
NEWBRIDGE SECURITIES CORPORATION	\$1,023,989.18	130
FIRST REPUBLIC SECURITIES CO LLC	\$1,021,536.74	71
CFD INVESTMENTS INC	\$996,401.12	334
SWBC INVESTMENT SERVICES LLC	\$987,269.37	302
AUSDAL FINANCIAL PARTNERS INC	\$985,875.83	184
ALIGHT FINANCIAL SOLUTIONS LLC	\$970,297.25	261
VALMARK SECURITIES INC	\$954,411.57	243
PLANNED FINANCIAL PROGRAMS INC	\$947,921.47	90
COPPER FINANCIAL NETWORK LLC	\$939,389.70	189
LEBENTHAL FINANCIAL SERVICES INC	\$911,583.24	211
THE LEADERS GROUP INC	\$899,716.49	257
G A REPPLE & CO	\$875,497.73	290
LION STREET FINANCIAL LLC	\$875,414.12	121
EMERSON EQUITY LLC	\$875,333.35	106
CAPITOL SECURITIES MGMT INC	\$818,520.72	182
COASTAL EQUITIES INC	\$805,895.46	163
THE OAK RIDGE FINANCIAL SERV GROUP INC	\$786,890.29	79
NORTHLAND SECURITIES INC	\$780,796.59	124
BROKERS INTERNATIONAL FINANCIAL	\$744,859.35	153
INDEPENDENCE CAPITAL CO INC	\$743,880.62	92
ARKADIOS CAPITAL	\$732,274.19	151
SUNBELT SECURITIES INC	\$725,987.06	46
CAPITAL SYNERGY PARTNERS	\$719,201.96	99
IFP SECURITIES LLC	\$716,733.09	150
BOK FINANCIAL SECURITIES INC	\$711,790.11	100
HENNION & WALSH INC	\$697,784.34	30
GRADIENT SECURITIES LLC	\$664,531.81	299
VERITAS INDEPENDENT PARTNERS LLC	\$658,890.08	105
DFPG INVESTMENTS LLC	\$652,060.02	50
ONEAMERICA SECURITIES INC	\$650,615.71	182
NATIONS FINANCIAL GROUP INC	\$644,020.39	283

Dealer Name	Assets	Accounts
USA FINANCIAL SECURITIES CORP	\$636,783.49	265
BUCKMAN BUCKMAN & REID INC	\$634,821.51	97
GIRARD INVESTMENT SERVICES LLC	\$634,784.15	154
CAPITAL INVESTMENT GROUP INC	\$633,337.01	83
FIRST CITIZENS INVESTOR SERVICES INC	\$629,724.96	88
CREATIVEONE SECURITIES LLC	\$616,788.31	229
ARETE WEALTH MANAGEMENT LLC	\$614,086.34	125
WESTMINSTER FINANCIAL SECURITIES INC	\$608,686.15	157
DAI SECURITIES LLC	\$589,551.28	24
IDB CAPITAL CORP	\$585,879.83	75
CALDWELL SUTTER CAPITAL INC	\$582,357.78	60
STEWART PARTNERS INVESTMENT SOLUTIONS	\$567,746.39	167
R M STARK & CO INC	\$566,183.43	91
CARY STREET PARTNERS	\$562,942.07	67
LASALLE ST SECURITIES LLC	\$538,478.65	116
FIRST KENTUCKY SECURITIES CORP	\$523,728.94	71
FELTL & COMPANY	\$505,871.74	102
BANCWEST INVESTMENT SERVICES INC	\$494,627.81	44
CORRELL CO INVESTMENT SERVICES CORP	\$480,976.09	33
CORECAP INVESTMENTS LLC	\$480,641.12	98
WINTRUST INVESTMENTS LLC	\$475,591.57	111
USCA SECURITIES LLC	\$466,900.54	44
MUTUAL TRUST COMPANY OF AMERICA	\$455,589.36	36
ENCOMPASS MORE INVESTMENTS LLC	\$454,708.36	42
PACKERLAND BROKERAGE SVCS INC	\$451,929.93	185
SNOWDEN ACCOUNT SERVICES LLC	\$445,473.34	80
CHELSEA FINANCIAL SERVICES	\$439,152.35	154
SHEARSON FINANCIAL SERVICES LLC	\$437,509.49	132
CONCORDE INVESTMENT SERVICES LLC	\$426,536.00	96
RHODES SECURITIES INC	\$418,764.09	8
R SEELAUS & CO LLC	\$411,088.08	41
NATIONWIDE SECURITIES LLC	\$409,788.73	114
WESBANCO SECURITIES INC	\$390,958.11	61
HALLIDAY FINANCIAL LLC	\$386,467.10	30
CABOT LODGE SECURITIES LLC	\$386,337.77	37
OAK TREE SECURITIES INC	\$376,445.72	74
AMERICAN TRUST INVESTMENT SERVICES INC	\$375,111.71	42
FIFTH THIRD SECURITIES INC	\$365,598.14	197
KINGSWOOD CAPITAL PARTNERS LLC	\$359,904.09	98
CHAPIN DAVIS	\$359,730.95	123
BANKERS LIFE SECURITIES INC	\$356,037.55	291
ARLINGTON SECURITIES INC	\$348,365.84	52
SECURITIES MANAGEMENT & RESEARCH INC	\$346,292.79	45
WOODMEN FINANCIAL SERVICES INC	\$339,058.55	189
1ST DISCOUNT BROKERAGE INC	\$326,648.13	37
CUTTER & COMPANY INC	\$326,314.38	63

Dealer Name	Assets	Accounts
ALLIANT SECURITIES INC	\$325,880.96	54
INNOVATION PARTNERS LLC	\$316,606.51	93
MSEC LLC	\$314,129.65	85
L M KOHN & COMPANY	\$312,433.63	74
D H HILL SECURITIES LLLP	\$306,668.70	53
B C G SECURITIES INCORPORATED	\$304,184.21	126
MUTUAL OF OMAHA INVESTOR SERVICES INC	\$295,701.88	177
M S HOWELLS & CO	\$295,594.65	88
ADVISORY GROUP EQUITY SVCS LTD	\$294,033.84	107
INTERVEST INTERNATIONAL EQUITIES CORP	\$292,269.48	35
ST BERNARD FINANCIAL SERVICES INC	\$289,039.20	58
VALLEY NATIONAL INVESTMENTS INC	\$285,706.73	54
CEROS FINANCIAL SERVICES INC	\$282,643.71	56
SANCTUARY SECURITIES INC	\$269,004.51	74
JRL CAPITAL CORPORATION	\$263,725.13	128
U S BROKERAGE INC	\$263,222.15	25
LIBERTY PARTNERS FINANCIAL SERVICES LLC	\$250,069.45	25
PAULSON INVESTMENT COMPANY LLC	\$247,095.12	24
VERITY INVESTMENTS INC	\$245,236.94	68
AMERICAN INVESTORS CO	\$242,239.89	150
LEIGH BALDWIN & CO LLC	\$240,255.26	94
SIGNAL SECURITIES INC	\$239,997.74	103
INSIGNEO SECURITIES LLC	\$239,976.54	25
KEEL POINT CAPITAL LLC	\$236,694.07	23
INVESTMENT PLANNERS INC	\$235,854.52	42
KCD FINANCIAL INC	\$234,702.30	86
BRIGHTON SECURITIES CORP	\$231,341.81	34
SIGNATURE SECURITIES GROUP CORPORATION	\$225,859.09	31
BEACONSFIELD FNCL SVCS INC	\$225,168.29	82
INVESTMENT NETWORK INC	\$222,139.68	35
CAPE SECURITIES INC	\$210,229.61	21
ALAMO CAPITAL	\$206,619.07	35
CENTENNIAL SECURITIES COMPANY INC	\$200,757.83	77
MURIEL SIEBERT & CO INC	\$199,831.69	37
UMB FINANCIAL SERVICES INC	\$198,049.99	25
ASSOCIATED INVESTMENT SVCS INC	\$194,593.35	101
NBC SECURITIES INC	\$190,738.68	126
FIRST WESTERN SECURITIES INC	\$189,808.08	47
CENTER STREET SECURITIES INC	\$186,241.63	42
ARQUE CAPITAL LTD	\$185,522.95	33
CAPFINANCIAL SECURITIES LLC	\$184,866.09	56
INTERCAROLINA FINANCIAL SVCS INC	\$183,904.29	19
FIRST ASSET FINANCIAL INC	\$181,720.72	15
T R WINSTON & COMPANY LLC	\$177,166.43	1
KEVIN HART KORNFIELD & COMPANY INC	\$172,892.64	12
MCCLURG CAPITAL CORPORATION	\$171,595.01	46

Dealer Name	Assets	Accounts
WORLD CAPITAL BROKERAGE INC	\$171,557.84	49
W & S BROKERAGE SERVICES INC	\$170,638.29	26
IBN FINANCIAL SERVICES INC	\$167,074.16	56
MOORS & CABOT INC	\$164,797.73	61
UNION CAPITAL COMPANY	\$163,828.00	22
CRESCENT SECURITIES GROUP INC	\$161,743.60	17
SOVEREIGN GLOBAL ADVISORS LLC	\$161,648.49	8
COLORADO FINANCIAL SERVICE CORPORATION	\$161,558.83	103
J P MORGAN SECURITIES LLC	\$151,635.68	12
HEIM YOUNG & ASSOCIATES INC	\$148,919.92	27
AMERICAN EQUITY INVESTMENT CORPORATION	\$147,917.00	12
DORN & CO INC	\$147,251.14	23
FBL MARKETING SERVICES LLC	\$141,851.69	49
HERBERT J SIMS & COMPANY INC	\$138,129.60	16
REGAL SECURITIES INC	\$135,961.98	55
S G LONG & COMPANY	\$135,313.66	26
MAXIM GROUP LLC	\$129,913.23	42
ADVANCED ADVISOR GROUP LLC	\$126,709.73	104
TRUSTFIRST INC	\$124,644.09	101
GARDNER FINANCIAL SERVICES INC	\$124,300.81	97
WEITZEL FINANCIAL SERVICES INC	\$120,208.78	48
LOMBARD SECURITIES INC	\$119,967.51	51
NORTHWEST INVESTMENT ADVISORS INC	\$119,714.42	43
Johnstone Brokerage Services LLC	\$116,992.75	32
WOODLANDS SECURITIES CORPORATION	\$116,566.62	34
GLEN EAGLE WEALTH LLC	\$111,385.74	65
CARTER TERRY & COMPANY INC	\$111,093.01	7
A.G.P./ALLIANCE GLOBAL PARTNERS	\$110,383.02	18
INTEGRATED FINANCIAL PLANNING SERVICES	\$109,603.39	46
COORDINATED CAPITAL SECURITIES INC	\$109,170.97	24
INSTITUTIONAL SECURITIES CORP	\$109,160.24	70
WILMINGTON CAPITAL SECURITIES LLC	\$106,607.29	33
LANDAAS & CO	\$105,662.96	5
BANKOH INVESTMENT SERVICES INC	\$102,824.93	12
DARWOOD ASSOCIATES INC	\$101,526.54	26
ANOVEST FINANCIAL SERVICES INC	\$100,001.98	4
CRESAP INCORPORATED	\$97,963.79	50
INTERNATIONAL MONEY MANAGEMENT	\$97,662.72	70
PRIVATE PORTFOLIO INC	\$96,655.95	16
CREWS & ASSOCIATES INC	\$96,249.06	3
FAMILY INVESTORS CO	\$94,067.64	38
AW SECURITIES	\$91,132.75	64
INFINITY FINANCIAL SERVICES	\$90,510.40	45
MIDWESTERN SECURITIES TRADING	\$90,289.95	32
SECURIAN FINANCIAL SERVICES INC	\$89,867.76	713
ZIONS DIRECT INC	\$86,622.04	78



Dealer Name	Assets	Accounts
LINCOLN DOUGLAS INVESTMENTS LLC	\$82,792.24	10
THURSTON SPRINGER MILLER HERD &	\$81,480.02	70
SAGE RUTTY & CO INC	\$81,470.61	16
COOMBE FINANCIAL SERVICES INC	\$81,200.92	47
SUNSTREET SECURITIES LLC	\$80,122.88	2
GLP INVESTMENT SERVICES LLC	\$79,894.08	50
DEMPSEY LORD SMITH LLC	\$77,661.43	46
NESTLERODE & LOY INC	\$77,388.85	21
NTB FINANCIAL CORPORATION	\$76,737.64	34
SANDERS MORRIS HARRIS LLC	\$75,478.94	47
FINANCIAL TELESIS INC	\$75,451.61	2
SOUTHEAST INVESTMENTS NC INC	\$74,425.46	26
BOLTON GLOBAL CAPITAL	\$74,371.08	8
MAPLEWOOD INVESTMENT ADVISORS INC	\$73,864.54	10
SPARTAN CAPITAL SECURITIES LLC	\$72,599.34	28
COLLIERS SECURITIES LLC	\$70,354.88	15
FIELDPOINT PRIVATE SECURITIES LLC	\$68,613.28	28
EF LEGACY SECURITIES LLC	\$66,973.28	30
ALLEGHENY INVESTMENTS LTD	\$66,239.76	36
OFG FINANCIAL SERVICES INC	\$65,907.35	31
ESSEX SECURITIES LLC	\$64,004.04	20
DAVENPORT & COMPANY LLC	\$63,634.26	39
CELADON FINANCIAL GROUP LLC	\$63,282.33	7
LABRUNERIE FINANCIAL SERVICES INC	\$61,818.38	54
HADDON SAVINGS BANK	\$61,425.48	39
SYNDICATED CAPITAL INC	\$61,139.55	9
PARSONEX SECURITIES INC	\$59,397.73	25
THE LOGAN GROUP SECURITIES	\$58,809.84	5
WILEY BROS-AINTREE CAPITAL LLC	\$55,465.36	45
DEUTSCHE BANK SECURITIES INC	\$54,224.73	16
FMN CAPITAL CORPORATION	\$53,950.59	8
GRODSKY ASSOCIATES INC	\$53,361.10	36
MMA SECURITIES LLC	\$52,096.87	10
ACA/PRUDENT INVESTORS PLANNING CORPORATION	\$50,755.15	61
HANTZ FINANCIAL SERVICES INC	\$48,196.83	5
HUNTER ASSOCIATES LLC	\$44,517.54	18
PINNACLE INVESTMENTS LLC	\$44,447.48	7
UNITED BROKERAGE SERVICES INC	\$44,055.42	10
ABACUS INVESTMENTS INC	\$42,186.02	20
ALEXANDER CAPITAL LP	\$41,024.42	33
FINANCIAL SENSE SECURITIES INC	\$41,023.81	14
TITLEIST ASSET MANAGEMENT LTD	\$40,676.83	6
JDL SECURITIES CORPORATION	\$40,317.95	6
INTEGRITY BROKERAGE LLC	\$38,084.77	10
PAINTER SMITH AND AMBERG INC	\$38,002.99	12
AMERICAN FINANCIAL ASSOCIATES INC	\$36,172.98	3



Dealer Name	Assets	Accounts
CONTINENTAL INVESTORS SERVICES INC	\$35,296.53	3
WORLD CHOICE SECURITIES INC	\$35,210.86	10
AMERICAN GLOBAL WEALTH MANAGEMENT INC	\$34,920.94	9
LADENBURG THALMANN & CO INC	\$34,465.24	5
R F LAFFERTY & CO INC	\$33,938.45	26
PEACHCAP SECURITIES INC	\$33,881.64	4
SCHLITT INVESTOR SERVICES INC	\$31,058.08	44
SUPERIOR FINANCIAL SERVICES INC	\$30,840.18	28
XML SECURITIES LLC	\$25,775.53	26
J H DARBIE & CO INC	\$25,436.52	77
WILLIAM BLAIR & COMPANY LLC	\$25,257.25	6
DIVERSIFIED SECURITIES INCORPORATED	\$25,244.03	2
GOLD COAST SECURITIES INC	\$25,005.23	4
AURORA SECURITIES	\$24,777.38	2
HOLLY STREET SECURITIES	\$24,214.05	3
FMSBONDS INC	\$23,165.39	2
UNIVEST SECURITIES LLC	\$22,549.88	12
BUTTONWOOD PARTNERS INC	\$21,809.47	8
GREAT AMERICAN INVESTORS INC	\$21,187.20	9
PEAK BROKERAGE SERVICES LLC	\$21,074.54	15
VARIABLE INVESTMENT ASSOCIATES INC	\$20,390.33	7
EXCEL SECURITIES & ASSOCIATES INC	\$19,468.69	13
LIBERTY CAPITAL INVESTMENT CO	\$19,301.73	6
MESIROW FINANCIAL INCORPORATED	\$18,761.42	20
FEDERATED SECURITIES INC	\$18,656.31	6
SYMPHONIC SECURITIES LLC	\$18,631.93	1
TECKMEYER FINANCIAL SVCS LLC	\$18,298.14	4
SECURITIES EQUITY GROUP	\$17,985.57	5
FORDHAM FINANCIAL MANAGEMENT INC	\$17,700.82	6
VESTECH SECURITIES INC	\$15,978.68	10
T S PHILLIPS INVESTMENTS INC	\$15,221.71	10
SAN BLAS SECURITIES LLC	\$14,883.21	3
WILSON-DAVIS & CO INC	\$14,786.81	4
TAYLOR SECURITIES INC	\$14,774.40	3
EMPIRE ASSET MANAGEMENT COMPANY	\$14,699.04	41
OMNI FINANCIAL SECURITIES INC	\$14,312.37	13
DINOSAUR FINANCIAL GROUP LLC	\$12,778.41	23
COMPASS SECURITIES CORPORATION	\$12,091.74	16
AMERICAN INDEPENDENT SECURITIES GROUP	\$11,950.02	8
JACK V BUTTERFIELD INVESTMENT CO	\$11,838.34	6
JAMES T BORELLO & CO	\$11,721.96	28
SUPREME ALLIANCE LLC	\$11,323.81	4
HAZARD & SIEGEL INC	\$11,321.26	9
BESTVEST INVESTMENTS LTD	\$10,645.30	14
AE FINANCIAL SERVICES LLC	\$9,932.89	19
WORTH FINANCIAL GROUP INC	\$9,103.47	6

Dealer Name	Assets	Accounts
VALKYRIE EQUITIES CORPORATION	\$8,986.25	13
AMUNI FINANCIAL INC	\$8,934.87	6
LAIDLAW & COMPANY (UK) LTD	\$8,406.70	1
SECUREVEST FINANCIAL GROUP	\$7,351.09	38
AEGIS INVESTMENTS INC	\$6,697.36	14
PARADIGM EQUITIES INC	\$6,538.90	10
DAVINCI CAPITAL MANAGEMENT INC	\$6,509.50	7
LESKO SECURITIES INC	\$5,745.29	4
STONECREST CAPITAL MARKETS INC	\$5,387.51	14
USI SECURITIES INC	\$4,638.03	24
BROOKLIGHT PLACE SECURITIES INC	\$4,636.55	20
HUNTLEIGH SECURITIES CORPORATION	\$3,880.82	18
COOPER MALONE MCCLAIN INC	\$3,665.42	10
AMERICAN WEALTH MANAGEMENT INC	\$2,698.94	13
HARVEST FINANCIAL CORPORATION	\$2,150.54	1
SECURITIES RESEARCH INC	\$1,415.66	6
BUELL SECURITIES CORP	\$1,276.58	10
ETICO PARTNERS LLC	\$932.87	7
SHAREHOLDERS SERVICE GROUP INC	\$597.89	11
TD AMERITRADE CLEARING INC	\$0.00	5
BBVA SECURITIES INC	\$0.00	1,575
HAZLETT BURT & WATSON INC	\$0.00	1
INVESTORS BROKERAGE OF TEXAS LTD	\$0.00	7
AVISEN SECURITIES INC	\$0.00	3
BANORTE-IXE SECURITIES INTERNATIONAL LTD	\$0.00	26
MODERN CAPITAL SECURITIES INC	\$0.00	4
HENLEY & COMPANY LLC	\$0.00	7
LEUMI INVESTMENT SERVICES INC	\$0.00	4
MUTUAL FUNDS ASSOCIATES INC	\$0.00	3
MCDONALD PARTNERS LLC	\$0.00	2
MARSCO INVESTMENT CORPORATION	\$0.00	1
NGC FINANCIAL LLC	\$0.00	5
UHLMANN PRICE SECURITIES LLC	\$0.00	8
HUDSON HERITAGE CAPITAL MGMT INC	\$0.00	13
HANCOCK WHITNEY INVESTMENT SERVICES INC	\$0.00	2
THOROUGHbred FINANCIAL SVCS LLC	\$0.00	26
ALMAX FINANCIAL SOLUTIONS LLC	\$0.00	3
VBC SECURITIES LLC	\$0.00	1
DAWSON JAMES SECURITIES INC	\$0.00	3
DAVIS SECURITIES LLC	\$0.00	2
ALLEN MOONEY & BARNES BROKERAGE SVCS LLC	\$0.00	6
D M KELLY & COMPANY	\$0.00	1
CIM SECURITIES LLC	\$0.00	7
S L REED & COMPANY	\$0.00	4
ROGAN & ASSOCIATES INC	\$0.00	6
RNR SECURITIES LLC	\$0.00	6

Dealer Name	Assets	Accounts
BLEY INVESTMENT GROUP INC	\$0.00	1
LONG ISLAND FINANCIAL GROUP INC	\$0.00	10
BTS SECURITIES CORPORATION	\$0.00	2
FSB PREMIER WEALTH MANAGEMENT INC	\$0.00	4